

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO.

TRANSMITTAL LETTER TO THE UNITED STATES

DESIGNATED/ELECTED OFFICE (DO/EO/US)

CONCERNING A FILING UNDER 35 U.S.C. 371 AND 37 CFR 1.491

P1918US

U.S. APPLICATION NO.

10/573,103

INTERNATIONAL APPLICATION NO.

PCT/FR2004/002271

INTERNATIONAL FILING DATE

07 September 2004 (07.09.04)

PRIORITY DATE CLAIMED

26 September 2003 (26.09.03)

TITLE OF INVENTION

METHOD AND SYSTEM FOR THE TRANSFER OF COMMUNICATION NETWORK ADMINISTRATION INFORMATION

APPLICANT(S) FOR DO/EO/US

STEPHAN, Emile ; LE MOULLAC-JEWITT, Jessie ; MOREAU, Eric

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371 and 37 CFR 1.491.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371 and 37 CFR 1.491.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)).
4. ☐ The US has been elected (PCT Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
11. Nucleotide and/or Amino Acid Sequence Submission
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper Copy
 - c. ☐ Statement verifying identity of above copies

Items 12 to 19 below concern other document(s) or information included:

12. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
 - ☐ Form PTO-1449
 - ☐ Copies of Listed Documents
13. ☐ An assignment for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
14. ☐ A FIRST preliminary amendment.
15. ☒ A SECOND or SUBSEQUENT preliminary amendment.
16. ☐ A substitute specification.
17. ☐ A change of power of attorney and/or address letter.
18. ☒ Application Data Sheet Under 37 CFR 1.76
19. ☒ Return Receipt Postcard
20. ☒ Other items or information: Response to Notification of Missing Requirements; Copy of Notification of Missing Requirements; Fee Record Sheet

U.S. APPLICATION NO. 10/573,103		INTERNATIONAL APPLICATION NO. PCT/FR2004/002271		ATTORNEY DOCKET NO. P1918US	
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20. The following fees are submitted: <input type="checkbox"/> a) Basic national fee.....\$300.00 <input type="checkbox"/> b) Examination fee If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....\$0.00 All other situations\$200.00 <input type="checkbox"/> c) Search fee If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....\$0.00 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100.00 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB.....\$400.00 All other situations.....\$500.00 <div style="text-align: right;">TOTAL OF ABOVE CALCULATIONS =</div>				Calculations \$ \$ \$ \$ \$0.00	PTO Use Only
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
-100=	/50=		x \$250.00	\$0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	-20=		x \$50.00	\$0.00	
Independent Claims	- 3 =		x \$200.00	\$0.00	
<input type="checkbox"/> Multiple Dependent Claim(s) (if applicable)			+ \$360.00	\$0.00	
TOTAL OF ABOVE CALCULATIONS=				\$130.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
SUBTOTAL=				\$130.00	
Processing fee of \$130.00 for furnishing English Translation later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date.				\$130.00	
Five-month extension of time under 37 CFR 1.136 for responding to Notification of Missing Requirements				\$2,160.00	
TOTAL NATIONAL FEE=				\$2,420.00	
Fee for recording the enclosed assignment. The assignment must be accompanied by an appropriate cover sheet. \$40.00 per property				+	\$
TOTAL FEE ENCLOSED=				\$2,420.00	
				Amount to be: refunded	\$
				charged:	\$

a. ☐ A check in the amount of \$ to cover the above fee is enclosed.

b. ☒ Please charge Deposit Account No. 07-0181 in the amount of \$2,420.00 to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-0181. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Customer Number: 08968
 Drinker Biddle & Reath LLP
 191 N. Wacker Drive, Suite 3700
 Chicago, Illinois 60606-1698

Brian C. Rupp, Registration No. 35,665
 One of the Attorneys for Applicant(s)

 Date: July 5, 2007

U.S. APPLICATION NO.
10/573,103

INTERNATIONAL APPLICATION NO.
PCT/FR2004/002271

ATTORNEY DOCKET NO.
P1918US

CERTIFICATION UNDER 37 CFR 1.10

“Express Mail” Label Number: **EV265819214US**

Date of Deposit: July 5, 2007

I hereby certify that this express request to begin national examination procedures under 35 U.S.C. 371(f) of the International Patent Application referenced above, including all of the items listed thereon as enclosures, is being deposited with the United States Postal Service “Express Mail Post Office to Addressee” Service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop PCT, Attention: DO/EO/US, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Irina L. Mikitiouk

Printed Name of Person Signing:


Signature

**EXPRESS MAILING CERTIFICATE
UNDER 37 CFR § 1.10**

Date of Deposit: July 5, 2007

"Express Mail" Mailing Number: EV265819214US

I hereby certify that the attached correspondence, including any documents or materials referred to thereon as enclosures or attachments, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to:

Mail Stop PCT
Attn: DO/EO/US
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Title: METHOD AND SYSTEM FOR THE TRANSFER OF
COMMUNICATION NETWORK ADMINISTRATION
INFORMATION

Applicant(s): Stephan et al.

Application No.: 10/573,103 (U.S. National Phase of PCT/FR2004/002271)

Filing Date: March 23, 2006

Enclosed:

1. Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 USC 371 and 37 CFR 1.491 (3 pgs) and duplicate thereof (3 pgs)
2. Response to Notification of Missing Requirements (3 pgs) and duplicate thereof (3 pgs)
4. Copy of Fee Record Sheet (1 pg)
5. Copy of Notification of Missing Requirements (2 pgs)
3. Executed Combined Declaration and Power of Attorney (8 pgs)
4. English Translation of PCT Application as filed, comprising: Specification (47 pgs); Claims (3 pgs); Abstract (1 pg); Nineteen (19) Sheets of Drawings (Figs. 1-18c; 19 pgs)
5. Substitute Preliminary Amendment (8 pgs)
6. Authorization to charge deposit account in the amount of \$2,420.00
7. Return postcard

Irina L. Mikitiouk
Typed or Printed Name


Signature

Attorney Docket No. **P1918US**
Client No. 184526-351668
CH02/ 22491094.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Stephan et al.

Art Unit: 2624

Application No. 10/573,103

Examiner: Unassigned

Filed: March 23, 2006

For: METHOD AND SYSTEM FOR THE TRANSFER
OF COMMUNICATION NETWORK ADMINISTRATION
INFORMATION

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir

Applicants hereby respond to the Notification of Missing Requirements dated December 7, 2006.

The items checked below are appropriate:

1. Status of Applicant

This application is on behalf of ☒ other than a small entity or ☐ a small entity.

2. Documents Submitted Herewith

- a. ☒ Executed Declaration of inventor(s)
- b. ☐ Nucleotide and/or Amino Acid Sequence Submission:
 - i. ☐ Computer Readable Form (CRF)
 - ii. Specification Sequence Listing on:
 - (1) ☐ CD-ROM or CD-R (2 copies); or
 - (2) ☐ Paper Copy
 - iii. ☐ Statement verifying identity of above copies
- c. ☒ English translation of application
- d. ☒ Copy of the Notification of Missing Requirements.
- e. ☐ Substitute Drawings
- f. ☒ Other: Substitute Preliminary Amendment; Fee Record Sheet.

3. Fees

Application Filing Fee or Extra Claim Fee

APPLICATION FEES				
BASIC FEE				\$300.00
SEARCH FEE				\$500.00
EXAMINATION FEE				\$200.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	-20=	13	x \$50.00	\$0.00
Independent Claims	- 3=	1	x \$200.00	\$0.00
<input type="checkbox"/> Multiple Dependent Claims(s) if applicable			+\$360.00	\$
Total of above calculations =				\$0.00
Reduction by 50% for filing by small entity =				\$()
Fee Due =				\$0.00

Extra independent claim fee of \$800 was paid with the originally submitted Preliminary Amendment, which is evidenced by the enclosed Fee Record Sheet, showing \$800 charge on March 31, 2006.

Late Filing of Oath or Declaration

Pursuant to 37 CFR 1.16(e), the surcharge for filing this Response is for ☒ other than a small entity or ☐ a small entity.

Fee Due \$130.00

Submitting a Translation of the Specification

Pursuant to 37 CFR 1.17(i), the surcharge for submitting an English translation of the non-English specification is included.

Fee Due \$130.00

Extension of Time

☒ Applicants petition for a five-month extension of time under 37 CFR 1.136, the fee for which is \$2,160.00.

☐ Applicants believe that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicants have inadvertently overlooked the need for a petition and fee for extension of time.

Fee Due \$2,160.00

4. Total Fee Due

The total fee due is:

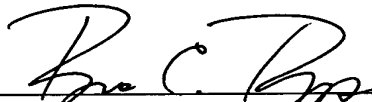
Application Filing Fee	\$0.00
Late Filing of Declaration Surcharge	\$130.00
Non-English Specification Surcharge	\$130.00
Extension of Time Fee	\$2,160.00
Total Fee Due:	\$2,420.00

5. Fee Payment

☐ Attached is a check in the sum of \$
☒ Charge Account No. 07-0181 the sum of \$2,420.00. A duplicate of this transmittal is attached.

6. Fee Deficiency

☒ If any additional fee is required in connection with this communication, charge Account No. 07-0181. A duplicate of this transmittal is attached.



Brian C. Rupp, Reg. No. 35,665
One of the Attorneys for Applicant(s)
191 N. Wacker Drive, Suite 3700
Chicago, Illinois 60606-1698
(312) 569-1000 telephone
(312) 569-3000 facsimile
Customer No.: 08968

Date: July 5, 2007

CH02/ 22491088.1

07/09/2007 GFREY1 00000110 070181 10573103
01 FC:1617 130.00 DA
02 FC:1618 130.00 DA
03 FC:1255 2160.00 DA


UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/573,103	Emile Stephan	P1918US

8968
 GARDNER CARTON & DOUGLAS LLP
 ATTN: PATENT DOCKET DEPT.
 191 N. WACKER DRIVE, SUITE 3700
 CHICAGO, IL 60606

INTERNATIONAL APPLICATION NO.	
PCT/FR04/02271	
I.A. FILING DATE	PRIORITY DATE
09/07/2004	09/26/2003

CONFIRMATION NO. 8956

371 FORMALITIES LETTER



OC000000021335718

Date Mailed: 12/07/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 03/23/2006
- English Translation of the IA filed on 03/23/2006
- Copy of the International Search Report filed on 03/23/2006
- Preliminary Amendments filed on 03/23/2006
- Information Disclosure Statements filed on 03/23/2006
- Request for Immediate Examination filed on 03/23/2006
- U.S. Basic National Fees filed on 03/23/2006
- Priority Documents filed on 03/23/2006
- Non-English Language Application filed on 03/23/2006
- Specification filed on 03/23/2006
- Claims filed on 03/23/2006
- Abstracts filed on 03/23/2006
- Drawings filed on 03/23/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Translation of the application into English. The current translation of the application into English is defective as described below. Note a processing fee will be required if submitted later than 30 months from the priority date.
 - The number of claims in the International Application and the number of claims in the translation are not the same.

- Processing fee of \$130 for providing the translation of the application and/or the Annexes later than 30 months from the priority date (37 CFR 1.492(i)).
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$260 for a Large Entity:

- \$130 Surcharge.
- \$130 for English translation surcharge required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.
<https://portal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

BARBARA A CAMPBELL

Telephone: (703) 308-9140 EXT 217

PART 2 - OFFICE COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/573,103	PCT/FR04/02271	P1918US